

## X-Change Financial Access LLC

Restricted OCC Number: 007

Restriction Effective Date: October 7, 2019

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## Merrill Lynch Professional Clearing Corp.

Restricted OCC Numbers: 519, 540, 551, 552, 598

Restriction Effective Date: October 15, 2019

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## Pershing LLC

Restricted OCC Number: 443

Restriction Effective Date: November 4, 2019

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